Regione Lombardia







# Cell-free circulating DNA : Test diagnostico ? Test predittivo ?

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# The Biological Basis of Liquid Biopsy



Bodily fluids (blood, urine, saliva, etc.)

- 1. Circulating Tumor Cells (CTCs)
- 2. Cell-free circulating DNA (cf-DNA)
- 3. Cell-free circulating tumor DNA (ct-DNA)
- 4. Small/Long noncoding RNAs
- 5. Exosomes
- 6. Tumor-Educated Platelets (TEPs)

(interaction between blood platelets and tumor cells that alters the RNA profile of platelets and affects tumor growth)

- Circulating tumor DNA (ctDNA) fragments are released by tumor cells into the bloodstream and contain, in principle, defects identical to the tumor cells they originate from.
- Molecular alterations, which can be detected in cell-free DNA (cfDNA), span the types of genomic alterations identified in tumors and include point mutations, rearrangements, and gene copy number variations.
- Free DNA (although fragmented) is quite stable in the circulation with a half-life between 16 minutes and 2.5 hours ("real-time" snapshot of disease burden).
- On the contrary, free RNA molecules do not generally survive in the bloodstream with the exception of microRNAs.





## Landmarks in the detection of ctDNAs in patients with different cancers







## Methodologies for detecting circulating tumor DNA

| Technology   | Platform                       | Sensitivity (%)       |
|--|--------------------------------|-----------------------|
| Sanger sequencing  | Many                           | 10                    |
| Next-generation sequencing                                     | Illumina, Life<br>Technologies | 2                     |
| TAm-Seq  | Illumina                       | 2                     |
| Quantitative-PCR   | Cobas                          | 2                     |
| ARMS-PCR   | Many                           | 0.1                   |
| Scorpion-PCR   | Many                           | 0.1                   |
| PNA-PCR  | Many                           | 0.1                   |
| Digital-PCR  | Bio-Rad, Life<br>Technologies  | 0.01                  |
| Droplet-PCR  | BEAMing, Bio-Rad,<br>Raindance | 0.01                  |
| CAPP-Seq   | Illumina                       | 0.01                  |
| ARMS: Amplification refractory r<br>PNA: Peptide nucleic acid. | nutation testing; PCR: Polyme  | erase-chain reaction; |







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| Table 1. Continued |   |            |  |  |  |  |
|--------------------|---|------------|--|--|--|--|
|                    |   | Paired     |  |  |  |  |
| Platform           | Study Design  | Samples, n | Mutation   | Sensitivity, %                           | Specificity, %                             | Reference                              |
| cobas and BEAMing  | Assessment of detection of EGFR-activating mutations and<br>T790M mutations in plasma using both cobas and<br>BEAMing assays compared with tumor tissue<br>genotyping. Patients were enrolled in either an              | 153        | EGFR-activating  | Positive %<br>agreement<br>73% (62%-83%) | Negative %<br>agreement<br>100% (86%-100%) | Karlovich et al.<br>2016 <sup>28</sup> |
|                    | observational study of newly diagnosed or relapsed  |            | EGFR T790M (cobas)   | 64% (45%-80%)                            | 98% (91%-100%)                             |  |
|                    | NSCLC, or the TIGER-X trial (phase 1 study of rociletinib<br>in patients with previously treated <i>EGFR</i> -mutant<br>NSCLC)  |            | EGFR-activating<br>mutations<br>(BEAMing PCR)                | 82% (70%-90%)                            | 67% (9%-99%)                               |  |
|                    |   |            | EGFR T790M<br>(BEAMing PCR)                                  | 73% (58%-85%)                            | 50% (26%-74%)                              |  |
| cobas              | Comparison of plasma genotyping for EGFR with tissue  | 238        | EGFR (all)   | 75%                                      | <b>96</b> %                                | Mok et al. 2015 <sup>29</sup>          |
|                    | genotyping in patients enrolled on the FASTACT-2 study (intercalated erlotinib or placebo with gemcitabine-   |            | EGFR exon 19 del<br>EGFR L858R                               | 82.5%<br>62.2%                           | 98.3%<br>99%                               |  |
|                    | platinum followed by maintenance erlotinib or placebo   |            | EGFR G719x   | 50%                                      | 100%                                       |  |
|                    | in advanced NSCLC)  |            | EGFR L861Q   | 100%                                     | 100%                                       |  |
| NGS                | Validation study comparing plasma genotyping utilizing a<br>cancer panel with tissue genotyping in nonsmoker<br>patients with NSCLC who were enrolled on the<br>BioCAST/IFCT-1002 lung cancer study                     | 68         | 12-amplicon panel<br>(EGFR, PI3KCA,<br>BRAF, KRAS,<br>ERBB2) | 58% (43%-71%)                            | 87% (62%-96%)                              | Couraud et al.<br>2014 <sup>30</sup>   |
| NGS                | Evaluation of an ultradeep NGS platform to capture<br>alterations in cfDNA in a panel of 37 lung cancer-related   | 51         | 37-gene lung<br>cancer panel                                 | 88%                                      | Not available                              | Li et al. 2016 <sup>18</sup>           |
|                    | genes (SNV, indels, fusions, and copy number gains). In<br>a subset of patients with acquired resistance to<br>targeted therapy, plasma NGS was able to capture <i>EGFR</i><br>T790M and additional somatic alterations | 16         | EGFR T790M   | 94% (concordance)                        | Not available                              |  |
| NGS                | Pilot study comparing plasma genotyping with an NGS<br>panel against a reference standard of plasma ddPCR or<br>tissue genotyping in patients with advanced NSCLC   | 48         | 62-driver and<br>resistance<br>mutations                     | 77%                                      | 100%                                       | Paweletz et. al.<br>2016 <sup>20</sup> |
|                    |   |            | EGFR or KRAS<br>mutations                                    | <b>79</b> %                              | 100%                                       |  |
| NGS                | Analysis of EGFR mutations in urine and plasma collected<br>from patients enrolled in the TIGER-X trial (phase 1/2  | 60         | <u>Plasma:</u>   |  |  | Reckamp et al.<br>2016 <sup>31</sup>   |
|                    | study of rociletinib in patients with previously treated  |            | EGFR exon 19 del   | <b>87</b> %                              | <b>96</b> %                                |  |
|                    | EGFR-mutant NSCLC). This study utilized a short   |            | EGFR L858R   | 100%                                     | 100%                                       |  |
|                    | with tissue genotyping, which was used as the   |            | EGFR T790M   | 93%                                      | <b>94</b> %                                |  |
|                    | reference standard  |            | Urine:   | ( 70/                                    | 0.49/                                      |  |
|                    |   |            | EGER LASAD   | 0/%                                      | 94%<br>100%                                |  |
|                    |   |            | EGER T790M   | 73%                                      | 96%  |  |
|                    |   |            | LOINTITION   | 12/0                                     | /0/0                                       |  |

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| Table 1. Continued                  |   |                  |                                |  |   |  |
|-------------------------------------|---|------------------|--------------------------------|--|---|--|
|                                     |   | Paired           |                                |  |   |  |
| Platform                            | Study Design  | Samples, n       | Mutation                       | Sensitivity, %                             | Specificity, %                          | Reference                                |
| NGS<br>(eTAm-Seq)                   | Detection of <i>EGFR</i> T790M mutation status by enhanced<br>tagged amplicon sequencing in patients with <i>EGFR</i> -<br>mutant advanced NSCLC who progressed while<br>receiving first- or second-generation TKI therapy and<br>were ineligible for a new tissue biopsy. Response to<br>osimertinib in patients who were T790M positive by<br>cfDNA analysis was assessed | 48               | EGFR T790M                     | 50% (detection rate)                       | Not available                           | Remon et al.<br>2017 <sup>32</sup>       |
| PNA-mediated PCR                    | Validation study comparing detection of <i>EGFR</i> mutations<br>in plasma and tissue from patients enrolled in the<br>EURTAC study (platinum chemotherapy vs. erlotinib in<br>metastatic <i>EGFR</i> -mutant NSCLC)  | 97               | EGFR exon 19<br>del or L585R   | 78%  | 100%                                    | Karachaliou et al.<br>2015 <sup>33</sup> |
| Scorpion-ARMS                       | Detection of plasma <i>EGFR</i> mutations in patients with<br>metastatic NSCLC who were receiving gefitinib<br>monotherapy. Plasma genotyping was compared with<br>tumor genotyping   | 42               | EGFR-sensitizing mutations     | 85.7%                                      | 94.3%                                   | Kimura et al.<br>2007 <sup>34</sup>      |
| Scorpion-ARMS                       | Detection of EGFR mutations in pretreatment plasma<br>compared with tissue from patients enrolled in the<br>IPASS study (gofftipibly), carboplatin paclitaxel for first   | 86               | EGFR-sensitizing mutations     | 43.1%                                      | 100%                                    | Goto et al. 2012 <sup>35</sup>           |
|                                     | the treatment of advanced NSCLC)  |                  |                                |  |   |  |
| Scorpion-ARMS                       | Validation study comparing detection of <i>EGFR</i> mutations<br>in plasma and tissue from patients with advanced <i>EGFR</i> -   | 652              | EGFR (all)                     | 65.7% (55.8%-74.7%)                        | 99.8% (99.0%-100%)                      | Douillard et al.<br>2014 <sup>36</sup>   |
|                                     | mutant NSCLC who were receiving first-line gefitinib on<br>a single-arm clinical study  |                  | EGFR exon 19 del<br>EGFR L858R | 67.6% (55.5%-78.2%)<br>61.8% (43.6%-77.8%) | 100% (99.4%-100%)<br>99.8% (99.1%-100%) |  |
| Potrospostivoly Valida              | tod Assaus (notrospostivo analysis of sposimons from retrosp  | octivoly identif | ind cohorts)                   |  |   |  |
| NGS (CAPP-Seq)                      | Evaluation utilizing the CAPP-Seq technology to detect  | 17               | Customized panet               | oo% (all stages)                           | 90% (all stages)                        | Newman et al.                            |
|                                     | alterations in plasma from patients across all stages of NSCLC  |                  | by tumor type                  | 50% (stage I) 100%<br>(stage II-IV)        |   | 201437                                   |
| cobas                               | Comparison of <i>EGFR</i> mutations in archived plasma and<br>tissue from a cohort of patients with advanced NSCLC  | 196              | EGFR-sensitizing<br>mutations  | 60.7%                                      | 96.4                                    | Weber et al. 2014 <sup>38</sup>          |
| Digital PCR                         | Comparison of plasma <i>EGFR</i> genotyping using digital PCR<br>with tumor genotyping in a cohort of patients with<br>advanced NSCLC   | 35               | EGFR exon 19<br>del or L858R   | 92%  | 100%                                    | Yung et al. 2009 <sup>39</sup>           |
| High-resolution<br>melting analysis | Comparison of plasma <i>EGFR</i> genotyping using high-<br>resolution melting analysis with tumor genotyping in a<br>cohort of patients with NSCLC  | 24               | EGFR-sensitizing mutations     | 91.67%                                     | 100%                                    | Hu et al. 2012 <sup>40</sup>             |
| Mass spectrometry genotyping        | Comparison of plasma <i>EGFR</i> genotyping using mass<br>spectrometry with tumor genotyping in a cohort of<br>patients with NSCLC  | 31               | EGFR exon 19<br>del or L858R   | 38.9%                                      | 84.6%                                   | Brevet et al. 2011 <sup>41</sup>         |
| FDA, U.S. Food and Drug             | Administration: PCR, polymerase chain reaction: NGS, next-generation  | on sequencing: D | PLC, denaturing high-per       | formance liquid chromato                   | graphy: ddPCR, digital d                | roplet polymerase chain                  |

FDA, U.S. Food and Drug Administration; PCR, polymerase chain reaction; NGS, next-generation sequencing; DHPLC, denaturing high-performance liquid chromatography; ddPCR, digital droplet polymerase chain reaction; del, deletion; BEAMing, beads, emulsions, amplification and magnetics; *PIK3CA*, phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit alpha gene; *ERBB2*, erb-b2 receptor tyrosine kinase 2 gene; cfDNA, cell-free DNA; SNV, single-nucleotide variation; eTAm-Seq, enhanced tagged-amplicon sequencing; PNA, peptide nucleic acid; ARMS, amplified refractory mutation system; CAPP-Seq, cancer personalized profiling by deep sequencing.

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Tissue



- Insufficient or no available tissue or cells (20-30% of patients do not have accessible tissue)
- Tumor accessibility
- Poor performance status of patient
- Profiling of a "more comprehensively genomic landscape" of alterations
- Cost
- Turn Around Time (TAT)
- Source of fresh material
- Minimally invasive
- Possibility of repeating blood sampling and/or analysis
- Dynamic monitoring of disease (response, stability or progression)
- Early identification of targetable resistance driver alterations

- Gold Standard
- Diagnosis and subtyping
- Detection of alterations in early stage of disease
- Histologic transformation (EMT, etc.)





### Areas of application of ctDNA analysis

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#### Cancer diagnosis : - earlier diagnosis of disease (both in symptomatic and presymptomatic patients) Prognosis and risk of relapse : - assessment of risk of progression - identification of patients at high risk of relapse (undertreatment vs overtreatment) **Treatment selection :** - tools for molecular profiling of patients and treatment stratification Monitoring disease burden : - treatment monitoring to identify response or progression (the ideal monitoring assay should be repeatable serially over time with minimal risk to patient and should provide an

accurate read-out of tumor burden)



Sensitivity

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### The diagnostic value of circulating cell free DNA quantification in non-small cell lung cancer: A systematic review with meta-analysis

| Authors  | Year                | Time of sample collection                              | Material   | Test method  | Reference gene     | Cutoff value                 | AUC (95% CI)  |
|--|---------------------|--|--|--|--------------------|------------------------------|---|
| Sozzi et al.   | 2001                | Before surgery   | Plasma   | DNA DipStick   | NA                 | 25 ng/mL                     | 0.84 (0.77, 0.90)   |
| Sozzi et al.   | 2003                | Before surgery   | Plasma   | Real-time PCR  | hTERT              | 10 ng/mL                     | 0.94 (0.91, 0.97)   |
| Xie et al.   | 2004                | NA   | Plasma   | PicoGreen  | NA                 | 21.9 ng/mL                   | 0.86 (0.80, 0.91)   |
| Herrera et al.   | 2005                | Undergoing surgical treatment                          | Plasma   | Real-time PCR  | β actin            | 14 µg/L                      | 0.63 (0.44, 0.82)   |
| Ludovini et al.  | 2008                | After surgical resection                               | Plasma   | Real-time PCR  | hTERT              | 3.25 ng/mL                   | 0.82 (0.75, 0.88)   |
| Ulivi et al.   | 2008                | NA   | Serum  | Real-time PCR  | NA                 | 25 ng/mL                     | 0.92 (0.88, 0.96)   |
| Paci et al.  | 2009                | After informed consent was obtained                    | Plasma   | Real-time PCR  | hTERT              | 4 ng/mL                      | 0.79 (0.71, 0.83)   |
| Yoon et al.  | 2009                | After informed consent was obtained                    | Plasma   | Real-time PCR  | β actin            | 11 ng/mL                     | 0.86 (0.81, 0.91)   |
| Szpechcinski et al.  | 2009                | Before treatment                                       | Plasma   | Real-time PCR  | β actin            | 2.78 ng/mL                   | 0.86 (0.67, 0.96)   |
| van der Drif et al.  | 2010                | Before surgery   | Plasma   | Real-time PCR  | β actin            | 32 ng/mL                     | 0.66 (0.53, 0.80)   |
| Kumar et a.  | 2010                | NA   | Plasma   | PicoGreen  | NA                 | 104.5 ng/mL                  | 0.83 (0.77, 0.89)   |
| Catarino et al   | 2012                | Refore treatment                                       | Plasma   | Real_time PCR  | LTERT              | 20.ng/mI                     | 0.88 (0.84, 0.92)   |
| for disc   | rim                 | inating NSCLC fro                                      | m hea  | althy individu   | uals. Con          | e biom<br>mbinat             | ion of =  |
| for disc<br>cfDNA  | rim<br>quar<br>ons. | inating NSCLC fro<br>ntification with ot               | m hea<br>her tu  | althy individu<br>Imor markers   | uals. Con<br>would | mbinat<br>be the f           | ion of  |
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| cfDNA<br>cfDNA<br>directio   | rim<br>quar<br>ons. | inating NSCLC fro<br>ntification with ot               | n hea<br>hertu   | althy individu<br>Imor markers<br>Catarino et al. 20<br>Kumar et a. 20<br>van der Drif et al. 20   | would              | mbinat<br>be the f           | arker )<br>ion of =<br>uture 0.9<br>0.83 [0.78 - 0.0<br>0.52 [0.30 - 0.7  |
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| cfDNA<br>cfDNA<br>cfDNA<br>directio<br>catarino et al. 2012<br>Kumar et a. 2010<br>van der Drif et al. 2019<br>Yoon et al. 2009<br>Paci et al. 2009<br>Ulivi et al. 2009<br>Ulivi et al. 2008<br>Ludovini et al. 2008<br>Herrera et al. 2004   | quar                |  | 87]<br>80]<br>80]<br>80]<br>80]<br>80]<br>96]<br>96]<br>96]<br>96]<br>96]<br>96]<br>96]<br>96  | Catarino et al. 20<br>Catarino et al. 20<br>Kumar et a. 20<br>Van der Drif et al. 20<br>Van der Drif et al. 20<br>Van der Drif et al. 20<br>Voon et al. 20<br>Paci et al. 20<br>Ulivi et al. 20<br>Ludovini et al. 20<br>Herrera et al. 20<br>Xie et al. 20  | als. Con<br>swould | e blom<br>mbinat<br>be the f | O.83 [0.78 - 0.4<br>O.95 [0.89 - 0.4<br>O.95 [0.89 - 0.4<br>O.75 [0.48 - 0.4<br>O.92 [0.85 - 0.4<br>O.92 [0.43 - 0.4<br>O.92 [0.43 - 0.4<br>O.95 [0.43 - 0.4]     O.95 [0.43 - 0.4  |
| Catarino et al. 2012<br>Kumar et a. 2010<br>Kumar et a. 2010<br>Van der Drif et al. 2010<br>Van der Drif et al. 2010<br>Van der Drif et al. 2010<br>Voon et al. 2009<br>Paci et al. 2009<br>Ulivi et al. 2009<br>Ulivi et al. 2009<br>Kudovini et al. 2008<br>Kuerera et al. 2004<br>Sozzi et al. 2004<br>Sozzi et al. 2004  | quar                |  | 87]<br>mhea<br>hertu<br>80]<br>80]<br>96]<br>96]<br>96]<br>96]<br>98]<br>89]<br>89]<br>89]<br>89]<br>89]<br>94]                            | Catarino et al. 20<br>Catarino et al. 20<br>Kumar et a. 20<br>Van der Drif et al. 20<br>Van der Drif et al. 20<br>Szpechcinski et al. 20<br>Paci et al. 20<br>Ulivi et al. 20<br>Ulivi et al. 20<br>Ludovini et al. 20<br>Herrera et al. 20<br>Xie et al. 20<br>Sozzi et al. 20  | als. Con<br>swould | e blom<br>mbinat<br>be the f | O.83 [0.78 - 0.4<br>O.95 [0.89 - 0.5<br>O.75 [0.48 - 0.4<br>O.75 [0.48 - 0.4]     O.75 [0.48 - 0.4<br>O.75 [0.48 - 0.4]     O.75 [0.48 - 0.4  |
| cfDNA<br>cfDNA<br>cfDNA<br>cfDNA<br>cfDNA<br>cfDNA<br>cfDNA<br>catarino et al. 2012<br>Kumar et a. 2010<br>van der Drif et al. 2010<br>van der Drif et al. 2010<br>yoon et al. 2009<br>Paci et al. 2009<br>Ulivi et al. 2009<br>Ulivi et al. 2008<br>Ludovini et al. 2008<br>Herrera et al. 2004<br>Sozzi et al. 2003<br>Sozzi et al. 2001   | quar                |  | 87]<br>mhea<br>hertu<br>88]<br>86]<br>96]<br>96]<br>96]<br>96]<br>96]<br>98]<br>98]<br>98]<br>98]<br>98]<br>98]<br>98]<br>98]<br>98]       | Catarino et al. 20<br>Catarino et al. 20<br>Kumar et a. 20<br>Van der Drif et al. 20<br>Szpechcinski et al. 20<br>Van der Drif et al. 20<br>Szpechcinski et al. 20<br>Ulivi et al. 20<br>Ulivi et al. 20<br>Ludovini et al. 20<br>Herrera et al. 20<br>Xie et al. 20<br>Sozzi et al. 20<br>Sozzi et al. 20   | als. Con<br>swould | e blom<br>mbinat<br>be the f | arker     ion of     uture     0.83 [0.78 - 0.4     0.52 [0.30 - 0.3     0.57 [0.48 - 0.4     0.75 [0.48 - 0.4     0.78 [0.68 - 0.4     0.92 [0.85 - 0.4     0.92 [0.85 - 0.4     0.92 [0.85 - 0.4     0.92 [0.85 - 0.4     0.92 [0.85 - 0.4     0.92 [0.85 - 0.4     0.92 [0.85 - 0.4     0.92 [0.85 - 0.4     0.92 [0.85 - 0.4     0.92 [0.85 - 0.4     0.92 [0.85 - 0.4     0.92 [0.85 - 0.4     0.92 [0.85 - 0.4     0.92 [0.85 - 0.4     0.92 [0.85 - 0.4     0.92 [0.85 - 0.4     0.92 [0.85 - 0.4     0.93 [0.43 - 0.4     0.95 [0.89 - 0.4     0.95 [0.80 - 0.4     0.95 [0.80 - 0  |
| cf for disc<br>cfDNA<br>cfDNA<br>directio<br>catarino et al. 2012<br>Kumar et a. 2010<br>van der Drif et al. 2010<br>van der Drif et al. 2010<br>yaci et al. 2009<br>Paci et al. 2009<br>Ulivi et al. 2009<br>Ulivi et al. 2008<br>Ludovini et al. 2008<br>Eudovini et al. 2008<br>Sozzi et al. 2003<br>Sozzi et al. 2001<br>COMBINED  | quar                |  | 87]<br>mhea<br>hertu<br>88]<br>86]<br>96]<br>96]<br>96]<br>96]<br>96]<br>98]<br>98]<br>98]<br>98]<br>98]<br>98]<br>98]<br>98]<br>98]<br>98 | Catarino et al. 20<br>Catarino et al. 20<br>Kumar et a. 20<br>Van der Drif et al. 20<br>Szpechcinski et al. 20<br>Van der Drif et al. 20<br>Van der Drif et al. 20<br>Szpechcinski et al. 20<br>Paci et al. 20<br>Ulivini et al. 20<br>Ludovini et al. 20<br>Herrera et al. 20<br>Xie et al. 20<br>Sozzi et al. 20<br>Sozzi et al. 20<br>Sozzi et al. 20   | als. Con<br>swould | e blom<br>mbinat<br>be the f | arker<br>ion of<br>uture<br>0.83 [0.78 - 0.4<br>0.52 [0.30 - 0.7<br>0.95 [0.89 - 0.3<br>0.75 [0.48 - 0.4<br>0.75 [0.48 - 0.4<br>0.75 [0.48 - 0.4<br>0.75 [0.48 - 0.4<br>0.92 [0.85 - 0.5<br>0.61 [0.48 - 0.1<br>0.95 [0.89 - 0.3<br>0.95 [0.89 - 0.3<br>0.95 [0.89 - 0.3<br>0.95 [0.89 - 0.3<br>0.95 [0.89 - 0.3<br>0.86 [0.72 - 0.4<br>0.85 [0.72 - 0.4] 0.85 [0.72 - 0.4<br>0.85 [0.72 - 0.4] 0.85 [0   |
| Comparison of the second secon | quar                |  | 87]<br>mhea<br>hertu<br>88]<br>86]<br>96]<br>96]<br>96]<br>96]<br>96]<br>98]<br>98]<br>98]<br>98]<br>98]<br>98]<br>98]<br>98]<br>98]<br>98 | Catarino et al. 20<br>Catarino et al. 20<br>Kumar et a. 20<br>Van der Drif et al. 20<br>Szpechcinski et al. 20<br>Van der Drif et al. 20<br>Szpechcinski et al. 20<br>Paci et al. 20<br>Ulivini et al. 20<br>Ludovini et al. 20<br>Ludovini et al. 20<br>Ludovini et al. 20<br>Kumar et al. 20<br>Sozzi et al. 2                   | als. Con<br>swould | e blom<br>mbinat<br>be the f | arker<br>ion of<br>uture<br>0.83 [0.78 - 0.4<br>0.52 [0.30 - 0.7<br>0.95 [0.89 - 0.3<br>0.75 [0.48 - 0.4<br>0.75 [0.48 - 0.4<br>0.75 [0.48 - 0.4<br>0.75 [0.48 - 0.4<br>0.92 [0.85 - 0.5<br>0.61 [0.48 - 0.1<br>0.95 [0.89 - 0.3<br>0.85 [0.77 - 0.5<br>0.85 [0.77 - 0.5]   |

0.3

Specificity

Lung Cancer 100 (2016) 63-70

1.0





# The potential of liquid biopsies for the early detection of cancer

| able 1. Biological and technical differences for applying liquid biopsy technologies on precancers and earlier stages of neoplastic development versus advanced cancers |   |  |  |
|---|---|--|--|
| Parameter   | Precancers/early stages   | Advanced cancers   |  |
| Size of lesion  | Usually small ( < 1 cm <sup>3</sup> )   | Large (≥1 cm³)   |  |
| Clinical signs  | Usually none  | Apparent   |  |
| Detectable by imaging   | Often not detectable  | Yes  |  |
| Biology of lesion   | May range from favorable to unfavorable (refs. 44, 45)  | Advanced cancers have in general unfavorable (sub)clones (ref. 6)  |  |
| Presence of established other tumor<br>markers (e.g., PSA, CEA, CA 125)   | Uncertain (ref. 129)  | Frequently available, but without high specificity/sensitivity; useful for disease monitoring (ref. 129) |  |
| Knowledge of genes to be targeted in<br>liquid biopsy assays  | Often unknown (refs. 78, 80)  | Usually known or can be established from available tumor tissue (refs. 3, 35, 73)                        |  |
| Established driver genes  | Often unknown (refs. 130, 131)  | Usually known (refs. 3, 35)  |  |
| Release of tumor DNA into the circulation   | Uncertain (refs. 42, 80)  | At stage III and IV disease close to 100% of patients (ref. 42)  |  |
| Applicable plasma DNA technologies  | Usually focused high-sensitivity assays (refs. 16–18)   | Broad range of targeted and untargeted approaches (refs. 16–18)  |  |
| Option of proximal sampling   | Only if endangered tissue is known (refs. 16, 17)   | In selected tumor entities, but frequently not necessary   |  |
| Option to design personalized assays  | Possible, provided that tissue is available (refs. 73, 84, 85)  | Tissue is usually available, can be designed for truncal and branch mutations (refs. 73)                 |  |
| Expected VAF of somatic mutations in<br>blood   | Extremely low, if present at all (refs. 42, 80)   | Frequently high (refs. 32, 42, 107, 132)   |  |
| Tumor heterogeneity   | Relatively low (refs. 6, 133)   | High (refs. 6, 133)  |  |
| Presence of potentially confounding<br>mutations  | In particular, persons with increased age may have acquired cancer-associated mutations without ever developing cancer (refs. 65, 71, 72) | Distinction between driver and passenger mutations needed for disease monitoring (ref. 35)               |  |
| Presence of potentially confounding clones  | Clonal expansion of non-tumorous tissue may mimic a malignant event (refs. 65, 67-70)   | Likely that all metastatic sites are reflected in plasma DNA analysis (ref. 134)                         |  |
| Detection of SCNAs  | Hard to detect due to low VAF at this disease stage (refs. 23, 27)  | Often informative and may indicate evolution of novel clones (ref. 32)                                   |  |
| Availability of established clinical<br>guidelines  | None  | Emerging, e.g., EGFR mutation testing as blood-based<br>companion diagnostic for patients with NSCLC     |  |





# **Categories of resistance mechanisms** in patients at progression with EGFR-TK inhibitors :

#### 1. Secondary mutations in the EGFR gene

(**p.T790M**, p.L747S, p.D761Y,p.T854A)

#### 2. Activation of bypass pathways

(HER2 ampl./mutations, MET ampl./mutations, HGF overexpression, IGF-IR activation, VEGF-VEGFRs interaction, FGFRs activation, PDGF-PDGFRs interaction, AXL overexpression, excess secretion of IL-6)

#### 3. Abnormal downstream pathways

(KRAS, BRAF and PIK3CA mutations, loss of PTEN, aberrant expression of NF1)

4. Histologic transformation (EMT, SCLC, SCC, ecc.)



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#### AZD9291 in EGFR Inhibitor–Resistant Non–Small-Cell Lung Cancer

Pasi A. Jänne, M.D., Ph.D., James Chih-Hsin Yang, M.D., Ph.D., Dong-Wan Kim, M.D., Ph.D., David Planchard, M.D., Ph.D., Yuichiro Ohe, M.D., Suresh S. Ramalingam, M.D., Myung-Ju Ahn, M.D., Ph.D., Sang-We Kim, M.D., Ph.D., Wu-Chou Su, M.D., Leora Horn, M.D., Daniel Haggstrom, M.D., Enriqueta Felip, M.D., Ph.D., Joo-Hang Kim, M.D., Ph.D., Paul Frewer, M.Sc., Mireille Cantarini, M.D., Kathryn H. Brown, Ph.D., Paul A. Dickinson, Ph.D., Serban Ghiorghiu, M.D., and Malcolm Ranson, M.B., Ch.B., Ph.D.



N Engl J Med 2015;372:1689-99.





Association Between Plasma Genotyping and Outcomes of Treatment With Osimertinib (AZD9291) in Advanced Non–Small-Cell Lung Cancer

Geoffrey R. Oxnard, Kenneth S. Thress, Ryan S. Alden, Rachael Lawrance, Cloud P. Paweletz, Mireille Cantarini, James Chih-Hsin Yang, J. Carl Barrett, and Pasi A. Jänne

JOURNAL OF CLINICAL ONCOLOGY VOLUME 34 · NUMBER 28 · OCTOBER 1, 2016







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JOURNAL OF CLINICAL ONCOLOGY VOLUME 34 · NUMBER 28 · OCTOBER 1, 2016

A proposed paradigm for use of plasma genotyping for epidermal growth factor receptor (EGFR) T790M





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## NEW ENGLAND JOURNAL of MEDICINE November 20, 2017.

## Osimertinib in Untreated EGFR-Mutated Advanced Non–Small-Cell Lung Cancer

J.-C. Soria, Y. Ohe, J. Vansteenkiste, T. Reungwetwattana, B. Chewaskulyong,
K.H. Lee, A. Dechaphunkul, F. Imamura, N. Nogami, T. Kurata, I. Okamoto,
C. Zhou, B.C. Cho, Y. Cheng, E.K. Cho, P.J. Voon, D. Planchard, W.-C. Su,
J.E. Gray, S.-M. Lee, R. Hodge, M. Marotti, Y. Rukazenkov,
and S.S. Ramalingam, for the FLAURA Investigators\*









### Mechanisms of resistance to 3rd-generation EGFR TKIs

| Resistance Mechanism <sup>23,29,56,60,62</sup>                 | No. (%) |
|--|---------|
| Total No. of patients  | 35      |
| C797S/T790M  | 8 (23)ª |
| T790M Maintained<br>(no clear resistance mechanism identified) | 12 (34) |
| Loss of T790M  | 10 (29) |
| MET Amp/T790-wt  | 1 (3)   |
| ERBB2(Her2) Amp/T790-wt  | 1 (3)   |
| SCLC/T790-wt   | 3 (9)   |

JAMA Oncology July 2016







Custom SCLC

cfDNA panel

MYC

MYCL1

MYCN

PIK3CA

KIT

BRAF

TP53

RB1

PTEN

NOTCH1

NOTCH2

**NOTCH3** 

27 patients with SCLC were

consented and enrolled

Longitudinal blood samples

were collected

NGS of cfDNA from plasma

samples

Results were correlated to

#### Longitudinal Cell-Free DNA Analysis in Patients with Small Cell Lung Cancer Reveals Dynamic Insights into Treatment Efficacy and Disease Relapse

Karinna Almodovar, PhD,<sup>a,\*</sup> Wade T. Iams, MD,<sup>a,\*</sup> Catherine B. Meador, MD, PhD,<sup>b</sup> Zhiguo Zhao, MS,<sup>c</sup> Sally York, MD, PhD,<sup>a,d</sup> Leora Horn, MD,<sup>a,d</sup> Yingjun Yan, MS,<sup>a</sup> Jennifer Hernandez, BS,<sup>e</sup> Heidi Chen, PhD,<sup>c</sup> Yu Shyr, PhD,<sup>c</sup> Lee P. Lim, PhD,<sup>e</sup> Christopher K. Raymond, PhD,<sup>e</sup> Christine M. Lovly, MD, PhD<sup>a,b,d,\*</sup>





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CANCER CENTER



# Early Detection of Molecular Residual Disease in Localized Lung Cancer by Circulating Tumor DNA Profiling

**CAncer Personalized Profiling by deep sequencing (CAPP-seq)** a NGS-based method that tracks multiple mutations per patient, achieving lower <u>limits of detection ~0.002%</u> We retrospectively profiled 255 blood and tissue samples from **40 patients** with localized lung cancers being treated with curative-intent first-line therapies and **54 healthy adults** 







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#### Preclinical Comparison of Osimertinib with Other EGFR-TKIs in EGFR-Mutant NSCLC Brain Metastases Models, and Early Evidence of Clinical Brain Metastases Activity

Peter Ballard<sup>1</sup>, James W.T. Yates<sup>2</sup>, Zhenfan Yang<sup>3</sup>, Dong-Wan Kim<sup>4</sup>, James Chih-Hsin Yang<sup>5</sup>, Mireille Cantarini<sup>6</sup>, Kathryn Pickup<sup>1</sup>, Angela Jordan<sup>1</sup>, Mike Hickey<sup>7</sup>, Matthew Grist<sup>1</sup>, Matthew Box<sup>1</sup>, Peter Johnström<sup>8,9</sup>, Katarina Varnäs<sup>9</sup>, Jonas Malmquist<sup>9</sup>, Kenneth S. Thress<sup>10</sup>, Pasi A. Jänne<sup>11</sup>, and Darren Cross<sup>2</sup>

Clin Cancer Res; 22(20) October 15, 2016

Table 2. Distribution to mouse brain of osimertinib, gefitinib, rociletinib, and afatinib following oral administration

|                                     | Osimertinib | Gefitinib | Rociletinib | Afatinib |
|-------------------------------------|-------------|-----------|-------------|----------|
| Dose (mg/kg)                        | 25          | 6.25      | 100         | 7.5      |
| Plasma C <sub>max</sub> (µmol/L)    | 0.82        | 0.82      | 3.32        | 0.14     |
| Brain Cmax (µmol/L)                 | 2.78        | 0.17      | BLQ         | BLQ      |
| Brain/plasma C <sub>max</sub> ratio | 3.41        | 0.21      | <0.08       | <0.36    |
|                                     |             |           |             |          |

NOTE: Doses equivalent to clinical doses or reported previously. Abbreviation: BLQ, below limit of quantification (rociletinib 0.25μmol/L, afatinib 0.05 μmol/L); C<sub>max</sub>, maximum plasma concentration.



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# **T790M** *EGFR* Mutation Detection in Cerebrospinal Fluid and Response to Osimertinib in a Lung Cancer Patient with Meningeal Carcinomatosis

Hugo Gortais, MD, Catherine Daniel, MD, François-Clément Bidard, MD Department of Medical Oncology, Curie institute, Paris, France

Emmanuelle Jeannot, PhD, Céline Callens, MD, Luc Cabel, MD Department of Genetics, Curie institute, Paris, France

Journal of Thoracic Oncology

September 2017 Volume 12, Issue 9, Pages e138–e139

Analysis of EGFR mutation status in blood and CSF in lung adenocarcinoma patients with EGFR mutation and CNS metastasis by ddPCR Y. Sen, Q. Wang

ESMO Asia 2017





# In which patients and clinical situations can plasma genotyping assays be utilized?

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- Current evidence from the various validation studies support the use of plasma • genotyping in patients with newly diagnosed disease before they begin treatment and in patients with acquired resistance to treatment and clear disease progression.
- Patients with metastatic NSCLC and acquired resistance to targeted therapy • represent an additional population in which validated plasma genotyping assays are useful in identifying resistance mechanisms and guiding subsequent therapy.
- The presence of increased disease burden (increasing number of metastatic sites, • liver and bone metastases in particular) has been previously demonstrated to predict for increased sensitivity of plasma genotyping assays.
- Studies in primary central nervous system tumors suggest that the capability to • detect tumor cfDNA in the plasma in this setting has limited sensitivity.
- Individual clinical situations in which initial biopsy yielded insufficient tissue for • genotyping, repeat biopsy is anatomically difficult or an urgent clinical need exists to identify potentially targetable genomic alterations represent scenarios in which plasma genotyping may be potentially useful.





# Existing challenges for liquid biopsy applications

- The clinical use of liquid biopsies will depend on the practical advantages for patients and clinicians, the infrastructure required and its cost-effectiveness.
- Tissue biopsies currently represent the standard of tumor diagnosis
- They only reflect a single point in time of a single site of the tumor
- Such a sampling method is thus inadequate for a comprehensive characterization of a tumor, as it has been demonstrated that various areas within the primary tumor or metastases can in fact harbor different genomic profiles
- Whether or not ctDNA does actually indeed offer a full representation of a patient's cancer (all existing metastases contribute to the ctDNA, CTCs, and exosomes found in the bloodstream, or if all tumor cells release an equal amount of ctDNA into the circulation)





# Existing challenges for liquid biopsy applications

- The molecular genetic diversity within a tumor can also alter over time, rendering future treatment decisions based on historical biopsy information potentially inaccurate and suboptimal
- A more mature understanding of the biology behind ctDNA, CTCs, exosomes and platelets
- Variability in ctDNA levels in different stages of the disease
- Pre-analytical steps [collection of bioliquids (e.g., blood, serum, plasma), centrifugation settings, isolation reagents, and storage conditions] should be potentially standardized
- Analytical steps (mutational analysis procedures, NGS assays and sequencing platforms themselves, etc.) should be potentially validated for their use into clinical settings
- The need to evaluate the clinical relevance of ctDNA at various time points depending on the application (patient stratification, evaluation of treatment response, efficacy and resistance)



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12 Dicembre 2017

NOVITA ED AGGIORNAMENTI NEL TRATTAMENTO DEL NSCLC CON MUTAZIONI EGFR

# ASST - Grande Ospedale Metropolitano Niguarda, Milano

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#### Molecular Adequacy of Image-Guided Rebiopsies for Molecular Retesting in Advanced Non-Small Cell Lung Cancer: A Single-Center Experience

Nadza Tokaca, MRCP, BM BCh,<sup>a</sup> Sarah Barth, MRCP,<sup>a</sup> Mary O'Brien, MRCP, MD,<sup>a</sup> Jaishree Bhosle, MRCP, PhD,<sup>a</sup> Nicos Fotiadis, FRCR, MD, PhD,<sup>b</sup> Andrew Wotherspoon, MRCPath, MB BCh,<sup>c</sup> Lisa Thompson, PhD,<sup>d</sup> Sanjay Popat, MRCP, PhD<sup>e,\*</sup>

> Journal of Thoracic Oncology Vol. 13 No. 1: 63-72

| Original Histological Subtype | n  | Rebiopsy Histologie   |
|-------------------------------|----|-----------------------|
| Adenocarcinoma                | 38 | Adenocarcinoma        |
|                               |    | NSCLC NOS             |
|                               |    | Poorly differentiated |
| Squamous cell carcinoma       | 9  | Squamous cell carcin  |
|                               |    | Adenocarcinoma        |
|                               |    | NSCLC NOS             |
|                               |    | Pleomorphic carcinor  |
| NSCLC NOS                     | 4  | NSCLC NOS             |
|                               |    | Squamous cell carcin  |
| Adenosquamous carcinoma       | 1  | Adenocarcinoma        |
| Total <sup>a</sup>            | 52 | Concordant            |
|                               |    | Discordant            |

NOS, not otherwise specified; TTF-1, thyroid transcription factor 1.

63.6% of cases. The rates of complications were 15% for pneumothorax, 3% for pneumothorax requiring chest drain, and 8% for hemoptysis.



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